# Linear analytical theory of a transformation from a single crystal $A$ to another single crystal B 

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#### Abstract

An isothermal phase transformation from a single crystal $A$ to another single crystal $B$ is theoretically investigated along preferred lines (Rosiwal's lines). It is supposed that the nuclei of the B-phase are Poisson distributed within the single crystal A. From these nuclei the B-grains grow instantaneously, equioriented, and in the form of cuboids with three different growth rates $v_{x}, v_{y}$ and $v_{\mathrm{z}}$. If the B-grains touch, growth stops and they form a larger B-grain. We derive for this microstructure, at time $t$ along the three Rosiwal's lines ( $X$-line, $Y$-line, $Z$-line), the distribution densities of the lengths of the A-phases as well as of the B-phases using the theory of probability. The two-dimensional model $(z=0)$ is considered in detail, idealizing the transformation within a thin layer.


## 1. Introduction

### 1.1 Motivation

The same cut of a transforming layer, from the homeotropic nematic phase to the smectic B-phase, is shown at two different times in Figs la and b. The layer with a thickness of about $10 \mu \mathrm{~m}$ lies between slides representing approximately a two-dimensional microstructure. The equioriented B-grains grow almost exclusively in one direction. (Further details are given in [1].) Investigations of other substances have shown a growth of rectangular grains in both directions.
These experimental investigations lead to the problem of the theoretical characterization of the microstructure of an isothermic and anisotropic transforming one-componentic layer at time $t$, containing the passing and the forming phases. In order to solve this problem we have created a two-dimensional model of idealized nucleation and crystal growth defining the microstructure at each time $t$ during transformation in a statistically complete form.
1.2. Two-dimensional model (2-D model)

The microstructure at time $t$ is defined by the following assumptions:

1. The single crystal A, at time $t=0$, occupies only two dimensions and is infinitely extended. (This assumption simplifies the mathematical treatment.)
2. Nuclei of the B-phase are Poisson distributed (independently and randomly) within the A-phase at time $t=0$, with a mean number of $n$ nuclei per area unit.
3. All grains of the B-phase instantaneously start to grow at time $t=0$ from their nuclei in the form of identical, parallel orientated rectangles (see Fig. 2). The linear growth rates are $v_{x}$ and $v_{y}$.
4. If two B-grains touch, they coalesce to form a larger, single crystalline B -grain (which contains no B-B grain boundary).
5. During phase transformation no new nuclei are formed and no shrinking occurs.

The following values in generalized units are used for graphical illustration of the transformation: $n=1 ; v_{x}=1 ; v_{y}=0.5$. These chosen values are used in Figs 4, 5, 7, 8 and 10. We additionally used $t=0.5$ to illustrate a fixed microstructure; this chosen value is used in Figs 2, 3, 6, 9 and 11. An expansion from two to three dimensions is given in Sections 4, 5 and 6.

### 1.3. Linear analytical characterization of the microstructure at time $t$

Microstructures are characterized in the field of quantitative microscopy [2-5]. Here the linear analysis is a common method, characterizing the microstructure in one dimension: a line (Rosiwal's line) is drawn parallel to a preferred direction, e.g. the $X$-line parallel to the greatest growth rate, $v_{x}$. Along this $X$-line alternating A - and B -phases occur with their different lengths $a$ and $b$, respectively (see Figs 3a and c). Therefore the following quantities and functions exist along the $X$ line at time $t$ :
mean number, $N$, of intervals of A-phases as well as of B -phases per unit length;
length fraction, $F$, of the B -phase;
distribution density $h(a)$ of the lengths $a$ of the A-phase;
distribution density $i(b)$ of the lengths $b$ of the B-phase.
As shown in the field of quantitative microscopy, each


Figure 1 (a) Microphotography of a layer between crossed polarizers. The layer consists of a nearly homeotropic phase A, containing ten parallel oriented rods like grains of phase B. (b) The same cut as Fig. la, but a little later. The width of the photographs equals 0.2 mm in the sample.
arbitrary infinite line parallel to the $X$-line used yields the same statistical results.

### 1.4. Aims

In the following sections $N(t), F(t), h(a ; t)$, and $i(b ; t)$ of the microstructure at time $t$ are derived by used of the theory of probability. The 1-D model has been completely derived in a previous work [6], and further 2-D and 3-D models have been investigated in [7].

## 2. Microstructure of the 2-D model along the $X$-line at time $t$

### 2.1. Virtual nuclei

We consider a strip along the $X$-line, limited by parallel straight lines with a distance $v_{y} t$ from the $X$-line, as shown in Fig. 3a. At time $t$ all nuclei outside the strip cannot, on principle, reach the $X$-line with their grains. These nuclei are called "no potential nuclei". All nuclei within the strip at time $t$ can (but do not have to) reach the $X$-line with their grains. These nuclei are called "potential nuclei".


Figure 2 Two-dimensional microstructure. The points represent the nuclei. The shaded areas represent the single crystalline B-phases. $v_{x}$ and $v_{y}$ are the linear growth rates. We choose $n=1, v_{x}=1$, $v_{y}=0.5, t=0.5$.

The rectangular projection of all potential nuclei upon the $X$-line yields the "virtual nuclei", as shown in Fig. 3b. As supposed, all nuclei within the 2-D model are Poisson distributed, and consequently the potential nuclei (within the strip) are also Poisson distributed. Therefore their $x$-coordinates have to be Poisson distrubuted (randomly, independently) along the $X$-line, which means that the virtual nuclei are also Poisson distributed along the $X$-line.

The mean number of virtual nuclei per length unit along the $X$-line at time $t, n_{x}$, follows from

$$
\begin{equation*}
n_{x}=2 v_{y} t n \tag{1}
\end{equation*}
$$

In the theory of probability [8], for Poisson distributed points along a line with a mean number $n_{x}$ of points per length unit, the distance $x$ between two neighbouring points is exponentially distributed with a distribution density

$$
\begin{equation*}
j(x)=n_{x} \exp \left(-n_{x} x\right) \tag{2}
\end{equation*}
$$

It is true that

$$
\begin{equation*}
\int_{x=0}^{\infty} j(x) \mathrm{d} x=1 \quad \text { (normalization) } \tag{3}
\end{equation*}
$$

and that

$$
\begin{equation*}
\int_{x=0}^{\infty} x j(x) \mathrm{d} x=\bar{x}=1 / n_{x} \tag{4}
\end{equation*}
$$

(mean distance between neighbouring points).
Therefore the distribution density $j$ of the distance $x$ between neighbouring virtual nuclei along the $X$-line at time $t$ is given by
$j(x ; t)= \begin{cases}0 & \text { for } x<0 \\ 2 n v_{y} t \exp \left(-2 n v_{y} t x\right) & \text { for } x \geqslant 0\end{cases}$
Fig. 3b shows some $x$-distances along the $X$-line.

### 2.2. Derivation of $N(t)$

The length of each B-grain grown unhindered along


Figure 3 (a) The same microstructure as in Fig. 2, containing Rosiwal's line ( $X$-line) and its strip with a width of $2 v_{y} t$. The points within the strip represent the potential nuclei. A-phase and B-phase alternate along the $X$-line. (b) $X$-line containing virtual nuclei. The $x$-distances are the lengths between two neighbouring virtual nuclei. (c) $X$-line containing A-phases with different lengths $a$ and B-phases (B-lengths) with different lengths $b$. The B-phase with a length $b$ ( $>b_{0}$ ) contains four virtual nuclei. The B-phase with the length $b_{0}$ contains only one virtual nucleus.
the $X$-line at time $t$ amounts to

$$
\begin{equation*}
b_{0}=2 v_{x} t \tag{6}
\end{equation*}
$$

where $b_{0}$ is the shortest length of the B-phases at time $t$ on the $X$-line (see Fig. 3c).

By use of Figs 3a-c, we see that each distance $x$ between neighbouring virtual nuclei with $x<b_{0}$ has transformed. Therefore it represents a part of the length $b$ of a B-phase. Distance $x \geqslant b_{0}$ contains B-phase on its left as well as on its right side, each with a length of $v_{x} t$. Furthermore this $x$-interval contains in its centre the A-phase with a length of

$$
\begin{equation*}
a=x-b_{0} \tag{7}
\end{equation*}
$$

being symmetrically arranged.
The fraction, $W$, of these A-intervals with respect to all $x$-intervals on the $X$-line is given by

$$
\begin{align*}
W(t) & =\int_{x=b_{0}}^{\infty} j(x) \mathrm{d} x=\exp \left(-n_{x} b_{0}\right) \\
& =\exp \left(-4 n v_{x} v_{y} t^{2}\right) \tag{8}
\end{align*}
$$

Therefore the mean number of A-intervals per length


Figure $4 N(t)$ represents the number of A-phases (or B-phases) per unit length along the $X$-line and along the $Y$-line. For the latter see Section 3.
unit along the $X$-line, $N$, is given by
$N(t)=n_{x} W(t)=2 n v_{y} t \exp \left(-4 n v_{x} v_{y} t^{2}\right)$
Fig. 4 shows the graph $N(t)$ for the example given above.

At $t=0$, phase-A only exists and therefore $N=0$. The shape of $N(t)$ is caused by two effects: the arrival of new grains on the $X$-line dominates before the maximum of $N$ is reached, and therefore the number of $N$ increases. Beyond the maximum the coalescence of grains dominate and therefore the number of Aintervals decreases. Finally only B-phase exists, and we have $N=0$. The mean number of B-phases per unit length also equals $N(t)$.

The differentiation of $N(t)$ with respect to time $t$ gives
$\dot{N}(t)=2 n v_{y} \exp \left(-4 n v_{x} v_{y} t^{2}\right)\left(1-8 n v_{x} v_{y} t^{2}\right)$
Fig. 5 shows $\dot{N}(t) ; \dot{N}(t)=0$ is reached at

$$
\begin{equation*}
t=\left(8 n v_{x} v_{y}\right)^{-1 / 2}=0.5 \tag{11}
\end{equation*}
$$

At this time we obtain the maximum of $N(t)$ in Fig. 4.


Figure $5 \dot{N}(t)$ represents the derivation of $N$ with respect to $t$ along the $X$-line and along the $Y$-line.


Figure 6 Distribution density $h$ of the length $a$ along the $X$-line and along the $Y$-line at $t=0.5, F=0.39$.

### 2.3. Derivation of $h(a)$

From Equations 2, 7, 8, 1 and 6 we obtain the normalized distribution density $h$ of the length $a$ of the A-phases at time $t$ :

$$
\begin{align*}
h(a ; t) & =\frac{n_{x} \exp \left[-n_{x}\left(a+b_{0}\right)\right]}{W(t)} \\
& =2 n v_{y} t \exp \left(-2 v_{y} t a\right) \tag{12}
\end{align*}
$$

Fig. 6 shows $h(a ; 0.5)$. The mean value $\bar{a}$ of the lengths $a$ at $t$ is given by

$$
\begin{equation*}
\bar{a}=\frac{1}{\left(2 n v_{y} t\right)} \tag{13}
\end{equation*}
$$

Since $h(a ; t)=j(x ; t)$, we have $\bar{a}=\bar{x}$. Each snapshot of the microstructure yields an exponential distribution of the a-lengths. $\bar{a}$ decreases proportionally to $1 / t$.

### 2.4. Avrami relation

The length fraction, $M$, of phase-A along the $X$-line at time $t$, equals the part $M$ of A-phase per unit length. It is given by

$$
\begin{equation*}
M(t)=\bar{a} N(t) \tag{14}
\end{equation*}
$$

With Equations 8 and 9 we obtain

$$
\begin{equation*}
M(t)=W(t) \tag{15}
\end{equation*}
$$

The complementary part of B-phase per unit length along the $X$-line at time $t, F$, meaning the length


Figure 7 Fraction transformed, $F(t)$, and fraction of A-phase, $W(t)$.
fraction of the B-phase, is given by
$F(t)=1-M(t)=1-\exp \left(-4 n v_{x} v_{y} t^{2}\right)$
Fig. 7 shows $F(t)$ with its point of inflection at $t=0.5$. Differentiation yields

$$
\begin{align*}
\dot{F}(t) & =-\dot{M}(t)=-\dot{W}(t) \\
& =8 n v_{x} v_{y} t \exp \left(-4 n v_{x} v_{y} t^{2}\right)=4 v_{x} N(t) \tag{17}
\end{align*}
$$

By quantitative microscopy for the B-phase, it is proved that the length fraction along the $X$-line equals the areal fraction within the $x-y$ plane. Therefore the $F(t)$ in Equation 16 represents also the "fraction transformed" in the two-dimensional microstructure under consideration. Equation 16 is often called the "Avrami relation". The proportionality of $\dot{F}(t)$ and $N(t)$ from Equation 17 is physically understandable because on the one hand the interphase interfaces are the centres of growth along the $X$-line, and on the other hand the mean number of interphase interfaces along the $X$-line amounts to $2 N(t)$.

### 2.5. Class 1 B -lengths

A B-length on the $X$-line containing only one virtual nucleus is called a "class 1 B -length". Its length $b$ amounts to $b_{0}=2 v_{x} t$ at time $t$ along the $X$-line. Therefore the (normalized) distribution density $i_{1}(b ; t)$ of the length $b$ is a delta function:

$$
\begin{equation*}
i_{1}(b ; t)=\delta\left(b-b_{0}\right)=\delta\left(b-2 v_{x} t\right) \tag{18}
\end{equation*}
$$

The mean number of class 1 B-lengths per unit length along the $X$-line at time $t, N_{1}$, is derived as follows. The probability of obtaining an $x$-interval with $x>b_{0}$ amounts to $W$, as given by Equation 8. If two such $x$-intervals are neighbours, we have a class 1 B-length. This event has the probability $W \cdot W$, because the two $x$-lengths are independent of each other. Therefore the mean number of class 1 B -lengths amounts to

$$
\begin{equation*}
N_{1}(t)=n_{x} W^{2}(t) \tag{19}
\end{equation*}
$$

Fig. 8 shows $N_{1}(t)$. The fraction $N_{1}(t) / N(t)$ amounts to $W(t)$ (see Fig. 7).


Figure $8 N_{1}$ is the mean number of class 1 B -lengths per unit length along the $X$-line. $N_{2}$ is the mean number of class 2 B-lengths per unit length along the $X$-line. $N$ is the sum of $N_{1}$ and $N_{2}$.


Figure 9 Distribution density $i_{2}(b ; t)$ of the length $b$ of the class 2 B-lengths at time $t=0.5$ along the $X$-line and along the $Y$-line. $F=0.39$.

### 2.6. Class 2 B -lengths

A B -length on the $X$-line containing two or more virtual nuclei is called a "class 2 B-length". Its length $b$ is a random variable and its value is greater than $b_{0}$. The normalized distribution density $i_{2}(b, t)$ of the lengths $b$ of the class 2 B -lengths is defined by

$$
\begin{gather*}
i_{2}(b ; t)=\frac{n_{x}}{\left[\exp \left(n_{x} b_{0}\right)-1\right]} \\
\times \begin{cases}0 & \text { for } 0<b \leqslant b_{0} \\
1 & \text { for } b_{0}<b \leqslant b_{0} \cdot 2 \\
g(b ; t, K) & \text { for } K b_{0}<b \leqslant b_{0}(K+1)\end{cases} \tag{20}
\end{gather*}
$$

with $K=2,3,4, \ldots$ and with

$$
\begin{align*}
g(b ; t, K)= & 1+\sum_{j=1}^{K-1}\left(-n_{x}\right)^{j} \exp \left(-j n_{x} b_{0}\right) \\
& \times\left\{\left[b-(j+1) b_{0}\right]^{\prime} / j!\right. \\
& \left.+\left[b-(j+1) b_{0}\right]^{j-1} /\left[n_{x}(j-1)!\right]\right\} \tag{21}
\end{align*}
$$

and with the abbreviations

$$
b_{0}=2 v_{x} t \text { and } n_{x}=2 n v_{y} t
$$

The derivation is a bit lengthy and therefore it will be given in a later paper [9]. Fig. 9 shows $i_{2}(b ; 0.5)$ along the $X$-line. The smallest length of a class 2 B-length amounts to $b_{0}(=1)$. The discontinuity of $i_{2}(b ; 0.5)$ at $b=2$ is caused by the abrupt vanishing of class 2 B-lengths with only two virtual nuclei. The salient point of $i_{2}$ at $b=3$ is caused by vanishing of class 2 B-lengths containing three virtual nuclei only. For $b>3$ the $i_{2}$ is continuous and differentiable. A similar discussion has been given in [6]. The mean number of class 2 B -lengths per unit length along the $X$-line at time $t, N_{2}$, follows from

$$
\begin{gather*}
N_{2}=N-N_{1}=n_{x} W-n_{x} W^{2} \\
=2 n v_{y} t \exp \left(-4 n v_{x} v_{y} t^{2}\right)\left[1-\exp \left(-4 n v_{x} v_{y} t^{2}\right)\right] . \tag{22}
\end{gather*}
$$

Fig. 8 shows $N_{2}(t) . N_{1}(t)$ and $N_{2}(t)$ intersect at $F=0.5$, where $F(t)$ and $W(t)$ in Fig. 7 also intersect.


Figure 10 Mean length $\bar{\delta}(t)$ of the B-phases along the $X$ - and along the $Y$-line.

The fraction $N_{2}(t) / N(t)$ amounts to $F(t)$ (see Fig. 7). The mean length $\sigma_{2}(t)$ of the class 2 B -lengths at time $t$ follows from

$$
\begin{equation*}
b_{2}(t)=\left(F-N_{1} b_{0}\right) / N_{2} . \tag{23}
\end{equation*}
$$

### 2.7. Density distribution $i(b ; t)$

The length unit on the $X$-line at time $t$ contains $N$ lengths of the A- as well as the B-phase. Therefore we have

$$
\begin{equation*}
N(\bar{a}+\bar{b})=1 \tag{24}
\end{equation*}
$$

From this equation we compute the mean length $\bar{b}$ of all B-lengths:

$$
\begin{align*}
\bar{S}= & 1 / N-\bar{a}=\left(2 n v_{y} t\right)^{-1} \\
& \times\left[\exp \left(+4 n v_{x} v_{y} t^{2}\right)-1\right] . \tag{25}
\end{align*}
$$

Fig. 10 shows $\bar{b}(t)$ with $\bar{b}(0)=0$ and $\bar{b}(\infty)=\infty$. The normalized density distribution $i(b ; t)$ of the length $b$ of all B-lengths along the $X$-line at time $t$ is given by use of Equations 18 and 20 as

$$
i(b ; t)= \begin{cases}0 & \text { for } b<b_{0}  \tag{26}\\ i_{1}(b ; t) W & \text { for } b=b_{0} \\ i_{2}(b ; t)(1-W) & \text { for } b>b_{0}\end{cases}
$$

## 3. Microstructure of the 2-D model along the $Y$-line at time $t$

### 3.1. Strip parallel to the $Y$-line

Fig. 11 shows Rosiwal's line parallel to the $Y$ direction through the microstructure seen above in Fig. 2. Now the strip shows a width of $2 v_{x} t$, containing all potential nuclei with respect to the $Y$-line. The mean number of virtual nuclei per unit length at


Figure 11 The microstructure from Fig. 2, containing a $Y$-line and its strip with a width of $2 v_{x} t=1$.
time $t$ along the $Y$-line, $n_{y}$, amounts to

$$
\begin{equation*}
n_{y}=2 n v_{x} t . \tag{27}
\end{equation*}
$$

The length $b_{0}$ of the grains of class 1 amounts to

$$
\begin{equation*}
b_{0}=2 v_{y} t \tag{28}
\end{equation*}
$$

### 3.2. Results

All derivations and results given in Section 2 for the linear analysis along the $X$-line are valid for the linear analysis along the $Y$-line, if we interchange $v_{x}$ and $v_{y}$.

The following quantities and their derivations with respect to time $t$ are invariant after interchange: $W(t)$ (Equation 8 ), $F(t)$ (Equation 16), $M(t)$ (Equation 16).

The following quantities are altered by interchange of $v_{x}$ and $v_{y}: b_{0}, \bar{x}, j(x)$ (Fig. 6), $N(t)$ (Fig. 4), $\dot{N}(t)$ (Fig. 5), $h(a)$ (Fig. 6), $\bar{a}, i_{1}(b), N_{1}(t)$ (Fig. 8), $i_{2}(b)$ (Fig. 9), $N_{2}(t)$ (Fig. 8), 5 (Fig. 10), $i(b)$.

## 4. Theory of linear analysis of the 3-D model

4.1. 3-D model

We expand the 2-D model, given in Section 1.2, for

$$
i_{2}(b)=\frac{4 n v_{y} v_{z} t^{2}}{\exp \left(-8 n v_{x} v_{y} v_{z} t^{3}\right)-1} \times\left\{\begin{array}{l}
0 \\
1 \\
g(b ; t, K)
\end{array}\right.
$$

the space (3-D) model in the following way. The nuclei are Poisson distributed within the space with a mean number of $n$ nuclei per unit volume. Each crystal grows in three directions with the rates $v_{x}, v_{y}$ and $v_{z}$. The form of each unhindered growing B-grain at time $t$ is a cuboid with the three lengths $2 v_{x} t, 2 v_{y} t, 2 v_{z} t$. As an illustration we also use $v_{z}=0.2$.

### 4.2. Potential nuclei

Now the potential nuclei are contained within a tube. The central line of the tube represents the $X$-line, as shown in Fig. 12. This tube has rectangular crosssection with an area amounting to $2 v_{y} t 2 v_{z} t$.

The virtual nuclei are again Poisson distributed. The mean number of virtual nuclei per unit length along the $X$-line at time $t$ is given by

$$
\begin{equation*}
n_{x}=4 n v_{y} v_{z} t^{2} \tag{29}
\end{equation*}
$$

### 4.3. Quantities along the $X$-line

The quantities of the 3-D model are derived in the same way as given in Section 2 for the 2-D model, and are listed below.

$$
\begin{aligned}
b_{0}(t)= & 2 v_{x} t \\
\bar{x}(t)= & 1 /\left(4 n v_{y} v_{z} t^{2}\right) \\
j(x)= & 4 n v_{y} v_{z} t^{2} \exp \left(-4 n v_{y} v_{z} t^{2} x\right) \\
W(t)= & \exp \left(-8 n v_{x} v_{y} v_{z} t^{3}\right) \\
N(t)= & 4 n v_{y} v_{z} t^{2} \exp \left(-8 n v_{x} v_{y} v_{z} t^{3}\right) \\
\dot{N}(t)= & 8 n v_{y} v_{z} t \exp \left(-8 n v_{x} v_{y} v_{z} t^{3}\right) \\
& \times\left(1-12 n v_{x} v_{y} v_{z} t^{3}\right) \\
h(a)= & 4 n v_{y} v_{z} t^{2} \exp \left(-4 n v_{y} v_{z} t^{2} a\right) \\
\bar{a}= & 1 /\left(4 n v_{y} v_{z} t^{2}\right) \\
F(t)= & 1-\exp \left(-8 n v_{x} v_{y} v_{z} t^{3}\right) \\
i_{1}(b)= & \delta\left(b-2 v_{x} t\right) \\
N_{1}(t)= & 4 n v_{y} v_{z} t^{2} \exp \left(-16 n v_{x} v_{y} v_{z} t^{3}\right) \\
N_{2}(t)= & 4 n v_{y} v_{z} t^{2} \exp \left(-8 n v_{x} v_{y} v_{z} t^{3}\right) \\
& \times\left[1-\exp \left(-8 n v_{x} v_{y} v_{z} t^{3}\right)\right]
\end{aligned}
$$

for $0<b \leqslant 2 v_{x} t$
for $2 v_{x} t<b \leqslant 2 \times 2 v_{x} t$
for $K 2 v_{x} t<b \leqslant(K+1) 2 v_{x} t$
with $K=2,3,4, \ldots$ and with

$$
\begin{aligned}
& g(b ; t, K)=1+\sum_{j=1}^{K-1}\left(-4 n v_{y} v_{z} t^{2}\right)^{j} \exp \left(-j 8 n v_{x} v_{y} v_{z} t^{3}\right)\left\{\frac{\left[b-(j+1) 2 v_{x} t\right]^{j}}{j!}+\frac{\left.\left[b-(j+1) 2 v_{x} t\right]^{j-1}\right)}{4 n v_{y} v_{z} t^{2}(j-1)!}\right\} \\
& \bar{b}(t)=\frac{\exp \left(8 n v_{x} v_{y} v_{z} t^{3}\right)-1}{4 n v_{y} v_{z} t^{2}} \\
& i(b)= \begin{cases}0 & \text { for } b<2 v_{x} t \\
\exp \left(-8 n v_{x} v_{y} v_{z} t^{3}\right) \delta\left(b-2 v_{x} t\right) & \text { for } b=2 v_{x} t \\
{\left[1-\exp \left(-8 n v_{x} v_{y} v_{z} t^{3}\right)\right] i_{2}(b)} & \text { for } b>2 v_{x} t\end{cases}
\end{aligned}
$$



Figure 12 Tube with the cross-section $0.5 \times 0.2$. The central line represents the $X$-line through the 3-D model.

### 4.4. Quantities along the $Y$-line and the $Z$-line

The results along the $Y$-line are obtained if we replace $v_{x}$ by $v_{y}$ and $v_{y}$ by $v_{x}$ in Section 4.3. The results along the $Z$-line are obtained if we replace $v_{x}$ by $v_{z}$ and $v_{z}$ by $v_{x}$.

The quantities $W(t), M(t), F(t)$, as well as their derivations with respect to time $t$, stay invariant after this interchange. They are also measured in the field of quantitative microscopy by the point analysis.

## 4.5. $S_{\mathrm{V}}$ and interphase interfacial energy

The mean number of A-B interfaces per unit length along the $X$-line at time $t$ amounts to $2 N(t)$, because there are $N$ B-phases each with two interfaces. Consequently the mean area of $y-z$ interphase interfaces within a unit cube is also given by $2 N(t)$. We use indices in order to distinguish the three main directions. The mean area of interphase interface per unit volume, $S_{\mathrm{v}}$, follows from

$$
\begin{gather*}
S_{\mathrm{V}}=2\left(N_{x}+N_{y}+N_{z}\right) \\
=8 n t^{2}\left(v_{y} v_{z}+v_{z} v_{x}+v_{x} v_{y}\right) \exp \left(-8 n v_{x} v_{y} v_{z} t^{3}\right) \tag{30}
\end{gather*}
$$

Fig. 13 shows $S_{\mathrm{v}}(t)$ with $n=1 ; v_{x}=1 ; v_{y}=0.5$; $v_{z}=0.2$. The maximum of $S_{\mathrm{v}}(t)$ is reached at $t_{\text {max }}=0.941 \ldots$. At the same time $\dot{F}$ reaches its maximum.
$d_{x y}$ may be the specific interphase interfacial energy of an $x y$-boundary. Analogously we define $d_{y z}$ and $d_{z x}$. The mean interphase interfacial energy per unit volume of the 3-D model at time $t, E(t)$, is given by

$$
\begin{align*}
E(t)= & 8 n t^{2}\left(d_{x y} v_{x} v_{y}+d_{y z} v_{y} v_{z}+d_{z x} v_{z} v_{x}\right) \\
& \times \exp \left(-8 n v_{x} v_{y} v_{z} t^{3}\right) \tag{31}
\end{align*}
$$

## 5. Theory of linear analysis of the 3-D surface model

5.1. 3-D surface model and potential nuclei Experimental linear analysis is often done on the surface of the sample. The usual model is the "3-D surface model", defined to be a 3-D model within the infinite half-space for all $x$ and all $y$ values, but only for $z \leqslant 0$. Now we investigate the microstructure of


Figure 13 Mean area of interphase interfaces per unit volume, $S_{V}(t)$.
the $x y$-plane along the $X$-line at time $t$. As shown in Fig. 14, the potential nuclei are situated within a half tube with the cross-section of $2 v_{y} t v_{z} t$. The mean number of virtual nuclei per unit length on the $X$-line at time $t, n_{x}$, amounts to

$$
\begin{equation*}
n_{x}=2 n v_{y} v_{z} t^{2}=1 / \bar{x} \tag{32}
\end{equation*}
$$

### 5.2. Quantities along the $X$-line

The quantities of the 3-D surface model are derived in the same way as given in Section 2 for the 2-D model, and are listed below.

$$
\begin{aligned}
b_{0}(t)= & 2 v_{x} t \\
\bar{x}(t)= & 1 /\left(2 n v_{y} v_{z} t^{2}\right) \\
j(x)= & 2 n v_{y} v_{z} t^{2} \exp \left(-2 n v_{y} v_{z} t^{2} x\right) \\
W(t)= & \exp \left(-4 n v_{x} v_{y} v_{z} t^{3}\right) \\
N(t)= & 2 n v_{y} v_{z} t^{2} \exp \left(-4 n v_{x} v_{y} v_{z} t^{3}\right) \\
\dot{N}(t)= & 4 n v_{y} v_{z} t \exp \left(-4 n v_{x} v_{y} v_{z} t^{3}\right) \\
& \times\left(1-6 n v_{x} v_{y} v_{z} t^{3}\right) \\
h(a)= & 2 n v_{y} v_{z} t^{2} \exp \left(-2 n v_{y} v_{z} t^{2} a\right) \\
\bar{a}= & 1 /\left(2 n v_{y} v_{z} t^{2}\right) \\
F(t)= & 1-\exp \left(-4 n v_{x} v_{y} v_{z} t^{3}\right) \\
i_{1}(b)= & \delta\left(b-2 v_{x} t\right)
\end{aligned}
$$



Figure 14 Half tube with the cross-section $0.5 \times 0.1$. The $X$-line is arranged in the surface $x-y$ plane.


Figure $15 N(t)$ along the $X$-line for the 3-D model and for the 3-D surface model.


Figure $16 F(t)$ for the 3-D model and for the 3-D surface model.

$$
\begin{aligned}
N_{1}(t)= & 2 n v_{y} v_{z} t^{2} \exp \left(-8 n v_{x} v_{y} v_{z} t^{3}\right) \\
N_{2}(t)= & 2 n v_{y} v_{z} t^{2} \exp \left(-4 n v_{x} v_{y} v_{z} t^{3}\right) \\
& \times\left[1-\exp \left(-4 n v_{x} v_{y} v_{z} t^{3}\right)\right] \\
i_{2}(b)= & \frac{2 n v_{y} v_{z} t^{2}}{\exp \left(-4 n v_{x} v_{y} v_{z} t^{3}\right)-1} \times \begin{cases}0 & \text { for } 0<b \leqslant 2 v_{x} t \\
1 & \text { for } 2 v_{x} t<b \leqslant 2 \times 2 v_{x} t \\
g(b ; t, K) & \text { for } K 2 v_{x} t<b \leqslant(K+1) 2 v_{x} t\end{cases}
\end{aligned}
$$

with $K=2,3,4, \ldots$ and with

$$
\begin{aligned}
& g(b ; t, K)=1+\sum_{j=1}^{K-1}\left(-2 n v_{y} v_{z} t^{2}\right)^{j} \exp \left(-j 4 n v_{x} v_{y} v_{z} t^{3}\right) \\
& \times\left\{\frac{\left[b-(j+1) 2 v_{x} t\right]^{j}}{j!}+\frac{\left[b-(j+1) 2 v_{x} t\right]^{(j-1)}}{2 n v_{y} v_{z} t^{2}(j-1)!}\right\} \\
& \bar{j}(t)=\frac{\exp \left(4 n v_{x} v_{y} v_{z} t^{3}\right)-1}{2 n v_{y} v_{z} t^{2}} \\
& i(b)= \begin{cases}0 & \text { for } b<2 v_{x} t \\
\exp \left(-4 n v_{x} v_{y} v_{z} t^{3}\right) \delta\left(b-2 v_{x} t\right) & \text { for } b=2 v_{x} t \\
{\left[1-\exp \left(-4 n v_{x} v_{y} v_{z} t^{3}\right)\right] i_{2}(b)} & \text { for } b>2 v_{x} t\end{cases}
\end{aligned}
$$

A comparison between the 3-D surface model and the 3-D model is given in dependence on time $t$ in Fig. 15 for the mean number of A-phases (or B-phases) per unit length along the $X$-line, $N(t)$, and in Fig. 16 for the fraction transformed, $F(t)$.

### 5.3. Quantities along the $Y$-line and the $Z$-line

The interchange of the growth rates $v_{x}, v_{y}, v_{z}$, as given in Section 4.4., are used in Section 5.2. to obtain the results along the $Y$-line and along the $Z$-line.

## 6. 3-D model with growth rates depending on time

We will consider the 3-D model from Section 4.1., but now with $v_{x}(t), v_{y}(t)$, and $v_{z}(t)$. In this model each unhindered grown B-grain is again a cuboid during the whole transformation, but the proportion of its three lengths depends on time $t$. This dependence may be caused by different stresses along different oriented
interphase interfaces, which react to the rates of growth. With the abbreviation

$$
\begin{equation*}
V_{i}(t)=\int_{t=0}^{t} v_{i}(t) \mathrm{d} t \text { for } i=x, y, z \tag{33}
\end{equation*}
$$

we obtain for the mean number of virtual nuclei per unit length along the $X$-line at time $t$

$$
\begin{equation*}
n_{x}=2 V_{y} 2 V_{z} n=4 n V_{y} V_{z} \tag{34}
\end{equation*}
$$

The length of each unhindered grown B-grain along the $X$-line at time $t$ is given by

$$
\begin{equation*}
b_{0}=2 V_{x} \tag{35}
\end{equation*}
$$

With the derivations in Sections 2 and 4 we obtain e.g.

$$
\begin{equation*}
W(t)=\exp \left(-8 n V_{x} V_{y} V_{z}\right) \tag{36}
\end{equation*}
$$

and

$$
\begin{equation*}
N(t)=4 n V_{y} V_{z} \exp \left(-8 n V_{x} V_{y} V_{z}\right) \tag{37}
\end{equation*}
$$

After derivation with respect to $t$ we have, however,

$$
\begin{align*}
\dot{N}(t)= & 4 n \exp \left(-8 n V_{x} V_{y} V_{z}\right)\left[v_{y} V_{y}+V_{y} v_{z}\right. \\
& \left.-8 n V_{y} V_{z}\left(v_{x} V_{y} V_{z}+V_{x} v_{y} V_{z}+V_{x} V_{y} v_{z}\right)\right] \tag{38}
\end{align*}
$$

Section 4.3. and Equations 30 and 31 are true for the present case if we substitute $v_{x} t \rightarrow V_{x} ; v_{y} t \rightarrow V_{y}$; $v_{z} t \rightarrow V_{z}$. ( $\dot{N}$ is excepted and given in Equation 40.) After doing so, the quantities along the $Y$-line follow by replacement of $V_{x}$ by $V_{y}$ and $V_{y}$ by $V_{x}$. (In $N(t)$ we have additionally to substitute $v_{x} \rightarrow v_{y}$ and $v_{y} \rightarrow v_{x}$.) The quantities along the $Z$-line follow by replacement of $V_{x}$ by $V_{z}$ and $V_{z}$ by $V_{x}$ from the quantities above. (In $N(t)$ we have additionally to substitute $v_{x} \rightarrow v_{z}$ and $v_{z} \rightarrow v_{x}$.)

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